AUDIT SERVE INC.

IT Audit Seminars

Auditors Role in IT Governance

Seminar Objective

This seminar is intended to provide attendee the base level knowledge required to perform IT Governance audits. The seminar instructor, Mitch Levine, has an established track record of taking complex industry requirements and structuring a presentation that breaks them into easily understand approaches based on his "real life" audit and systems deployment experiences.

Seminar Length
One day (7 1/2 hour presentation time per day plus 1 hour lunch and two 10 minute breaks per day)

Who Should Attend

This seminar is designed for IT, Integrated and Operation Auditors along with compliance personnel at all levels.

Continuing Professional Education Credits

All attendees are eligible to receive 8 hours of continuing professional education (CPE) credits by attending. These credits are recognized by the National Association of State Boards of Accountancy (NASBA). The CPE field of study is Accounting and Auditing. No prerequisites or advanced preparation is required. Audit Serve is registered with the National Association of State Boards of Accountancy (NASBA), as a sponsor of continuing professional education on the National Registry of CPE Sponsors.
State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding sponsors may be addressed to National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN 37219-2417, USA (615) 880-4200 Web site: www.nasba.org.

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Seminar Outline

- Introduction to Governance, Risk and Compliance
- Alternative approaches for the set-up of the IT compliance functions
- IT Governance Responsibilities
- Introduction to the key areas of IT Governance
- Understanding how IT Governance audits have evolved
- Recommended Audit Scope for the key areas of IT Governance audits
- Understanding the Core Components of IT Governance Organization
    - Small organizational approaches
    - Mid-Size organizational approaches
    - Multi-national & large organizations
    - Private versus public sectors
- Evaluating the adequacy of the IT Governance organizational structure
- Assessment of IT Governance core areas
    - Risk Assessment processes
        - Enterprise
        - Application
        - Project
    - Monitoring IT Controls
    - Implementation of the Data Classification standard
    - Data Retention and Records Management
    - IT Policies, standards and procedures
    - GBLA Assessments
    - Entity Level Control Assessments
    - IT Compliance with laws, regulations and contracts
- Management reporting relating to IT Governance
- How to audit compliance functions
- Audit Report approaches for IT Governance Audits
- Case Study

One case study will be presented during this seminar which will provide the attendee the understanding of how to assess the IT Governance structure, risk management and compliance functions.